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# Asymmetric Synthesis of 3,4-Dihydrocoumarins by Rhodium-Catalyzed Reaction of 3-(2-Hydroxyphenyl)cyclobutanones 

Takanori Matsuda, Masanori Shigeno, and Masahiro Murakami*<br>Department of Synthetic Chemistry and Biological Chemistry, Kyoto University, Katsura, Kyoto 615-8510, Japan

Received July 11, 2007; E-mail: murakami@sbchem.kyoto-u.ac.jp

Significant advances have been made over the past decade in the field of activation of carbon-carbon single bonds by means of transition metal catalysis. ${ }^{1}$ Now a variety of catalytic processes are available for organic transformations including cross-coupling and ring-expansion reactions. ${ }^{2}$ We recently developed the rhodiumcatalyzed reaction of boron-substituted cyclobutanones forming 1-indanones. Enantioselectivities up to $95 \%$ ee were observed during the sequence of intramolecular addition/ring-opening reactions when stereogenic quaternary carbon centers arose at the benzylic position. ${ }^{3}$ It was also found that rhodium catalysts promote the ring-opening reaction of cyclobutanones with phenols to form ester linkages via inter- ${ }^{-}$and intramolecular pathways. ${ }^{5}$ In this paper, we describe an asymmetric synthesis of 3,4-dihydrocoumarins by way of a highly enantioselective carbon-carbon bond cleavage. ${ }^{6}$ Deuterium-labeling experiments led to the development of a new cascade reaction involving 1,4-rhodium shift and intermolecular conjugate addition.

When 3-(2-hydroxyphenyl)cyclobutanone (1a) ${ }^{7}$ was treated with a catalytic amount of a rhodium(I) catalyst, prepared in situ from $[\mathrm{Rh}(\mathrm{OH})(\mathrm{cod})]_{2}(7 \mathrm{~mol} \%)$ and $(R)$-SEGPHOS ( $16 \mathrm{~mol} \%$ ), in toluene at room temperature for $19 \mathrm{~h}, 4$-methyl-3,4-dihydrocoumarin (2a) was produced in $77 \%$ isolated yield (Scheme 1). ${ }^{8}$ Only one enantiomer was observed by chiral HPLC analysis. The absolute configuration was assigned to be $S$ by comparison with the reported optical rotation. ${ }^{9}$ BINAP and Tol-BINAP were also effective as the chiral ligands, both giving $96 \%$ ee. We propose a possible mechanism which consists of (i) generation of rhodium aryloxide 3, (ii) addition to the carbonyl group forming rhodium cyclobutanolate 4, ${ }^{10}$ (iii) ring opening of the cyclobutane skeleton by $\beta$-carbon elimination ${ }^{11}$ generating 5 , which is the enantiodifferentiating step, and (iv) protonolysis affording the dihydrocoumarin 2a, as we proposed recently. ${ }^{4}$

When the reaction of $\mathbf{1 a}$ was carried out in $\mathrm{THF}-\mathrm{D}_{2} \mathrm{O}(4: 1)$, deuterium was incorporated at the 3-position (88\% D; 61:39 dr) (Scheme 2). This result indicates that protonolysis occurs not directly from the intermediate $\mathbf{5}$ but via enolate $\mathbf{6}$. From intermediate $\mathbf{5}$, in which rhodium is located $\gamma$ to the carbonyl group, $\mathbf{6}$ is generated via a series of $\beta$-hydride elimination and re-additions. ${ }^{12}$ The excellent enantioselectivity observed with $\mathbf{2 a}$ is explained by assuming that rhodium faithfully remains on the same enantioface during the repetitive elimination/re-addition processes.

The results of the $\operatorname{Rh}(\mathrm{I})-(R)$-SEGPHOS-catalyzed reaction of other 3-monosubstituted cyclobutanones $\mathbf{1}$ are shown in Chart 1. Methoxy- and chloro-substituted cyclobutanones gave the corresponding 3,4-dihydrocoumarins, $\mathbf{2 b}$ and $\mathbf{2 c}$, in good yields and high levels of enantiomeric excess. The reaction of naphthalene derivative produced tricyclic lactone 2d in $91 \%$ yield and $98 \%$ ee.

We also tried to synthesize seven-membered ring lactones by the rhodium-catalyzed reaction of 3-monosubstituted cyclobutanones with their tethers extended by one carbon. The reaction of cyclobutanone 7 possessing a benzylic alcohol moiety required heating at $135^{\circ} \mathrm{C}$, and seven-membered lactone $\mathbf{8}$ was produced in $76 \%$ yield and $34 \%$ ee (eq 1). Cyclobutanone 9 reacted at $110^{\circ} \mathrm{C}$ to give benzolactone 10 in $61 \%$ yield with $28 \%$ ee (eq 2 ). The more compact and less flexible 2-oxabicyclo[3.1.1]heptane

Scheme 1. Rhodium-Catalyzed Reaction of Cyclobutanone 1a


with (R)-SEGPHOS
$77 \%$ yield, $>99 \%$ ee
with ( $R$ )-BINAP $80 \%$ yield, $96 \%$ ee
with ( $R$ )-Tol-BINAP $78 \%$ yield, $96 \%$ ee

Scheme 2. Deuterium-Labeling Experiment with 1a


Chart 1. Asymmetric Synthesis of 4-Monosubstituted 3,4-Dihydrocoumarins $\mathbf{2 b}$ - $\mathbf{d}^{a}$

$79 \%$ yield, $99 \%$ ee


2 c
$85 \%$ yield, $98 \%$ ee


2 d
$91 \%$ yield, $98 \%$ ee
a $3.5 \mathrm{~mol} \%$ of $[\mathrm{Rh}(\mathrm{OH})(\mathrm{cod})]_{2}$ and $8 \mathrm{~mol} \%$ of $(R)$-SEGPHOS in toluene for 2b or in toluene-THF (4:1) for 2c and 2d at rt for $12-14 \mathrm{~h}$.
skeleton of 4 is likely preferable for the enantiodifferentiating carbon-carbon bond cleavage step to occur with high selectivity.

$61 \%$ yield, $28 \%$ ee
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Table 1. Asymmetric Synthesis of 4,4-Disubstituted 3,4-Dihydrocoumarins $\mathbf{2 e - j}{ }^{\text {a }}$

|  <br> 1e-j |  |  | $\begin{gathered} 3.5 \mathrm{~mol} \%[\mathrm{Rh}(\mathrm{OH})(\mathrm{Cod})]_{2} \\ 8 \mathrm{~mol} \%(\mathrm{R})-\mathrm{Tol}-\mathrm{BINAP} \end{gathered}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | cyclobutanone |  |  | dihydrocoumarin |  |  |
| entry | 1 | $\mathrm{R}^{1}$ | $\mathrm{R}^{2}$ | 2 | \%yield ${ }^{\text {b }}$ | \%ee ${ }^{\text {c }}$ |
| 1 | 1e | $\left(\mathrm{CH}_{2}\right)_{2} \mathrm{Ph}$ | H | 2e | 81 (79 ${ }^{\text {d }}$ | $94\left(80{ }^{\text {d }}\right.$ ) |
| $2^{e}$ | 1 f | $\left(\mathrm{CH}_{2}\right)_{2} \mathrm{Ph}$ | OMe | 2 f | 92 | 95 |
| $3 f$ | 1g | Et | H | 2 g | 80 | 94 |
| $4^{f}$ | 1h | $i-\mathrm{Pr}$ | H | 2h | 87 | 93 |
| $5^{8}$ | 1 i | Ph | H | 2 i | 68 | 92 |
| $6^{\text {ef }}$ | 1j | $\left(\mathrm{CH}_{2}\right)_{3} \mathrm{OH}$ | Me | 2 j | 77 | 77 |

${ }^{a}$ Unless otherwise noted, cyclobutanone $\mathbf{1}$ was reacted in the presence of $3.5 \mathrm{~mol} \%$ of $[\mathrm{Rh}(\mathrm{OH})(\operatorname{cod})]_{2}$ and $8.0 \mathrm{~mol} \%$ of $(R)$-Tol-BINAP in toluene at room temperature for $11-24 \mathrm{~h} .{ }^{b}$ Isolated yield by preparative TLC. ${ }^{c}$ Determined by chiral HPLC. ${ }^{d}$ Result with ( $R$ )-SEGPHOS. ${ }^{e}$ TolueneTHF (4:1) was used. ${ }^{f} 7.0 \mathrm{~mol} \%$ of $[\mathrm{Rh}(\mathrm{OH})(\mathrm{cod})]_{2}$ and $16 \mathrm{~mol} \%$ of $(R)$ -Tol-BINAP were used. ${ }^{g}$ THF was used.

## Scheme 3. Deuterium-Labeling Experiment with $1 f$



Table 2. Rhodium-Catalyzed Cascade Reaction of 1 with Electron-Deficient Alkenes 12a

|  |  | $\xrightarrow[\mathrm{THF}, 50^{\circ} \mathrm{C}, 10 \mathrm{~h}]{\substack{3.5 \mathrm{~mol} \%[\mathrm{Rh}(\mathrm{OH})(\mathrm{Cod})]_{2} \\ 8 \mathrm{~mol} \%(R)-\mathrm{OH}-\mathrm{BNAP}}}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| entry | 1 ( $\left.\mathrm{R}^{1}, \mathrm{R}^{2}\right)$ | 12 (X) | 13 | \%yield ${ }^{\text {b }}$ | \%ee ${ }^{\text {c }}$ |
| 1 | 1f (( $\left.\left.\mathrm{CH}_{2}\right)_{2} \mathrm{Ph}, \mathrm{OMe}\right)$ | 12a (CN) | 13fa | 93 | 95 |
| 2 | 1f | 12b (COMe) | 13fb | 65 | 96 |
| $3^{d}$ | 1 f | 12c ( $\mathrm{CO}_{2} \mathrm{Me}$ ) | 13fc | 76 | 95 |
| 4 | $\mathbf{1 g}(\mathrm{Et}, \mathrm{H})$ | 12b | 13gb | 75 | 97 |
| 5 | 1h (i-Pr, H) | 12a | 13ha | 89 | 91 |

${ }^{a}$ Cyclobutanone $\mathbf{1}$ was added dropwise to a THF solution of alkene $\mathbf{1 2}$ (10 equiv) over 1 h in the presence of the rhodium catalyst at $50^{\circ} \mathrm{C}$. ${ }^{b}$ Isolated yield. ${ }^{c}$ Determined by chiral HPLC. ${ }^{d}$ The reaction was carried out at $60^{\circ} \mathrm{C}$ for 17 h .

Next, the reaction of 3,3-disubstituted cyclobutanone $\mathbf{1 e}$ was examined (Table 1). In contrast to the cases of 3-monosubstituted cyclobutanones $\mathbf{1 a}-\mathbf{d}$, Tol-BINAP proved to operate more selectively than SEGPHOS in constructing the chiral quaternary carbon center (entry 1). Enantiomeric excesses ranging from 92 to $95 \%$ were generally observed in the reaction of various 3,3-disubstituted cyclobutanones $\mathbf{1 f}-\mathbf{i}$, except for the case of $\mathbf{1} \mathbf{j}$ having a 3-hydroxypropyl side chain (entries 2-6).

A deuterium-labeling experiment was carried out also with the 3,3-disubstituted cyclobutanone 1f, for which it was impossible to follow the protonolysis pathway shown in Scheme 2 because of the lack of $\beta$-hydrogen. In this case, deuterium was incorporated at the 5-position, implying the generation of arylrhodium species 11 via a 1,4-rhodium shift ${ }^{13}$ prior to protonolysis (Scheme 3).

These results led us to examine the competency of intermediary arylrhodium $\mathbf{1 1}$ in a subsequent 1,4 -addition reaction. ${ }^{14}$ When the reaction of $\mathbf{1 f}$ was carried out in the presence of acrylonitrile (12a), the arylrhodium generated in an enantioenriched form via 1,4rhodium shift underwent 1,4 -addition to $\mathbf{1 2 a},{ }^{15}$ and the cascade
product 13fa was obtained in $93 \%$ yield with $95 \%$ ee (Table 2, entry 1). Other electron-deficient alkenes such as methyl vinyl ketone (12b) and methyl acrylate (12c) could be employed (entries 2 and 3). ${ }^{16}$ Cyclobutanones $\mathbf{1 g}$ and $\mathbf{1 h}$ also underwent the cascade reaction to furnish the corresponding alkylated dihydrocoumarins 13 (entries 4 and 5).

In summary, 3,4-dihydrocoumarins have been synthesized in a highly enantioselective manner through an asymmetric $\beta$-carbon elimination step. A new asymmetric cascade reaction consisting of carbonyl addition/ring opening/1,4-addition has been developed by utilization of the intermediary arylrhodium species generated from 3,3-disubstituted cyclobutanones.

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Supporting Information Available: Experimental details and selected spectral data for new compounds. This material is available free of charge via the Internet at http://pubs.acs.org.

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